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 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 04890/HG
INTERNATIONAL APPLICATION NO. PCT/JP2004/005603	INTERNATIONAL FILING DATE April 20, 2004	U.S. APPLICATION NO. 10/519107 PRIORITY DATE CLAIMED April 23, 2003

TITLE OF INVENTION
ORGANIC ELECTROLUMINESCENT ELEMENT AND DISPLAY

APPLICANT(S) FOR DO/EO/US
Yoshiyuki SUZURI, Hiroshi KITA, Eisaku KATOH, Tomohiro OSHIYAMA, Mitsuhiro FUKUDA and Noriko UEDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

- (i) First page of WO 2004/095889 A1
 (Publication of Appln.)
- (ii) PCT/ISA/210 (Search Report)
- (iii) PCT/RO/101 (PCT Request)
- (iv) 3 Sheets formal drawings (Figs. 1-4)
- (v) REQUEST FOR PUB. OF ASSIGNMENT INFORMATION

Express Mail Label No. EV 584 621 739 US
Date of Deposit: December 23, 2004
 I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450
Laraine Dobies
Laraine Dobies

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/519107</div>		INTERNATIONAL APPLICATION NO. PCT/JP2004/005603		ATTORNEY'S DOCKET NUMBER 04890/HG	
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee..... \$200.00 <input checked="" type="checkbox"/> c) Search fee..... \$500.00 TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 300.00 \$ 200.00 \$ 500.00 \$ 1000.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
184 - 100 =	84 /50 =	2	x \$250.00	\$ 500.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	36 - 20 =	16	x \$50.00	\$ 800.00	
Independent claims	1 - 3 =	0	x \$200.00	\$ --	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ --	
TOTAL OF ABOVE CALCULATIONS =				\$ 2.300.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 2.300.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 2.300.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 2.340.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$2300 & \$40</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<div style="font-size: 1.5em; font-weight: bold;">CUSTOMER NO. 01933</div> Marshall J. Chick Frishauf, Holtz, Goodman & Chick P.C. 767 Third Avenue 25 th Floor New York NY 10017-2023					
<div style="font-size: 1.2em;"> SIGNATURE Marshall J. Chick NAME 26,853 REGISTRATION NUMBER </div>					

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

10/519107
DT01 Rec'd PCT/PTC 23 DEC 2004

Express Mail Mailing Label
No.: EV 584 621 739 US
Date of Deposit: December 23, 2004

Appln No. : To be assigned (U.S.
National Phase of
PCT/JP2004/005603
filed 4/20/04)

Applicant(s): Yoshiyuki SUZURI et al.

Filed : CONCOMITANTLY HEREWITH

For : ORGANIC ELECTROLUMINESCENT
ELEMENT AN DISPLAY

Art Unit :

Examiner :

Docket No. : 04890/HG

Confirm. No.:

Customer No.: 01933

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

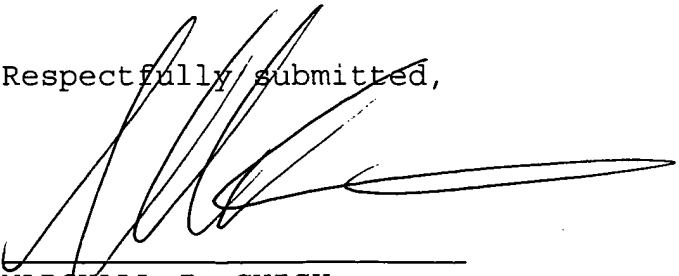
S I R :

It is requested that the following assignment information be
published as part of the 18 month publication of the present
application:

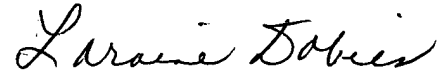
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Respectfully submitted,


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I hereby certify that this paper is being
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Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the
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Laraine Dobies

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